

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation *Other (Specify) <input type="checkbox"/> Revision		
3. Date Received: 05/29/2015		4. Applicant Identifier:
5a. Federal Entity Identifier: NEG-AR-ST-14-002		*5b. Federal Award Identifier:
State Use Only:		
6. Date Received By State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: Department of Workforce Services (Arkansas)		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 71-6006690		*c. Organizational DUNS: 001900013
d. Address:		
*Street 1: #2 Capitol Mall Street 2: P.O. Box 2981 *City: Little Rock County: Pulaski *State: AR Province: *Country: US *Zip / Postal Code: 72203		
e. Organizational Unit:		
Department Name: Discretionary Grants		Division Name: Employment Assistance
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: *First Name: Middle Name: *Last Name: <u>Lisa Ferrell</u> Suffix:		
Title:		
Organizational Affiliation: Discretionary Grants		
*Telephone Number: (501) 371-1024		Fax Number: (501) 683-5858
*Email: lisa.ferrell@arkansas.gov		

Application for Federal Assistance SF-424	Version 02
<p>*9. Type of Application 1: Select Applicant Type: A. State Government</p> <p>Type of Applicant 2: Select Applicant Type:</p> <p>Type of Applicant 3: Select Applicant Type:</p> <p>*Other (Specify)</p>	
<p>*10. Name of Federal Agency: DOL/ETA</p>	
<p>11. Catalog of Federal Domestic Assistance Number: 17.277</p> <p>CFDA Title: Workforce Investment Act (WIA) National Emergency Grant</p>	
<p>*12. Funding Opportunity Number: N/A</p> <p>*Title: N/A</p>	
<p>13. Competition Identification Number:</p> <p>Title:</p>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <p>AR-Arkansas County, AR-Ashley County, AR-Baxter County, AR-Benton County, AR-Boone County, AR-Bradley County, AR-Calhoun County, AR-Carroll County, AR-Chicot County, AR-Clark County, AR-Clay County, AR-Cleburne County, AR-Cleveland County, AR-Columbia County, AR-Conway County, AR-Craighead County, AR-Crawford County, AR-Crittenden County, AR-Cross County, AR-Dallas County, AR-Desha County, AR-Drew County, AR-Faulkner County, AR-Franklin County, AR-Fulton County, AR-Garland County, AR-Grant County, AR-Greene County, AR-Hempstead County, AR-Hot Spring County, AR-Howard County, AR-Independence County, AR-Izard County, AR-Jackson County, AR-Jefferson County, AR-Johnson County, AR-Lafayette County, AR-Lawrence County, AR-Lee County, AR-Lincoln County, AR-Little River County, AR-Logan County, AR-Lonoke County, AR-Madison County, AR-Marion County, AR-Miller County, AR-Mississippi County, AR-Monroe County, AR-Montgomery County, AR-Nevada County, AR-Newton County, AR-Ouachita County, AR-Perry County, AR-Phillips County, AR-Pike County, AR-Poinsett County, AR-Polk County, AR-Pope County, AR-Prairie County, AR-Pulaski County, AR-Randolph County, AR-Saline County, AR-Scott County, AR-Searcy County, AR-Sebastian County, AR-Sevier County, AR-Sharp County, AR-St. Francis County, AR-Stone County, AR-Union County, AR-Van Buren County, AR-Washington County, AR-White County, AR-Woodruff County, AR-Yell County</p>	
<p>*15. Descriptive Title of Applicant's Project:</p> <p>AR-Regular~Arkansas Sector Partnership</p>	

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16. Congressional Districts Of:		
*a. Applicant: AR District 1, AR District 2, AR District 3, AR District 4		*b. Program/Project: AR District 1, AR District 2, AR District 3, AR District 4
17. Proposed Project:		
*a. Start Date: 06/30/2015		*b. End Date: 06/30/2017
18. Estimated Funding (\$):		
*a. Federal:	\$ 6,362,485	
*b. Applicant:	\$ 0	
*c. State:	\$ 0	
*d. Local:	\$ 0	
*e. Other:	\$ 0	
*f. Program Income:	\$ 0	
*g. TOTAL:	\$ 6,362,485	
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?		
[] a. This application was made available to the State under the Executive Order 12372 Process for review on		
[X] b. Program is subject to E.O. 12372 but has not been selected by the State for review.		
[] c. Program is not covered by E.O. 12372.		
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)		
[] Yes [X] No		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)		
[X] ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.		
Authorized Representative:		
Prefix:	*First Name:	
Middle Name:		
*Last Name:	<u>Artee Williams</u>	
Suffix:		
*Title: Director		
*Telephone Number: 501-682-2121		
*Email:		
*Signature of Authorized Representative: Artee Williams		*Date Signed: 05/29/2015

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval no. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.						
2.						
3.						
4.						
5. TOTALS						

SECTION B - BUDGET CATEGORIES

6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total
	(1)	(2)	(3)	(4)	(5)
a. Personnel	\$301,722				\$301,722
b. Fringe Benefits	\$102,585				\$102,585
c. Travel	\$5,000				\$5,000
d. Equipment	\$0				\$0
e. Supplies	\$3,000				\$3,000
f. Contractual	\$5,571,455				\$5,571,455
g. Construction	\$0				\$0
h. Other	\$300,000				\$300,000
i. Total direct Charges (sum of 6a-6h)	\$6,283,762				\$6,283,762
j. Indirect Charges	\$78,723				\$78,723
k. TOTALS (sum of 6i and 6j)	\$6,362,485				\$6,362,485
7. PROGRAM INCOME					

Prescribed by OMB Circular A-102

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Standard Form 424A (Rev. 7-97)

SECTION C - NON FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.					
9.					
10.					
11.					
12. TOTALS (sum of lines 8 -11)					
SECTION D - FORECASTED CASH NEEDS					
	Total for 2nd Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal					
14. Non Federal					
15. TOTAL (sum of lines 13 - 14)					
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.					
17.					
18.					
19.					
20. TOTALS (sum of lines 16 -19)					
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks					

Standard Form 424A (Rev. 7-97)
 Prescribed by OMB Circular A-102

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Budget Information Narratives

Budget Narrative

Personnel: Provide a detailed explanation of the cost components of the planned cost for Personnel.

ADWS Personnel Costs includes the following:

Program Staff:

Program Manager (.5 FTE) - \$84,262
Program Accountability Specialist (.5 FTE) - \$68,723
Targeted Population Outreach Specialist (.5 FTE) - \$44,848
Program Administrative Analyst (.5 FTE) - \$31,623
Program Monitor (.5 FTE) - \$38,737

Administrative:

Accounting Staff - \$25,482
Auditor (Monitoring) \$2,610
Division Chief - \$1,805
Budget Specialist - \$3,632

Total: \$301,722

Fringe Benefits: Provide a detailed explanation of the cost components of the planned cost for Fringe Benefits.

Fringe Benefits are calculated at 34% of salary and total \$102,585.

\$91,185 for Program staff.
\$11,400 for Administrative staff.

Travel: Provide a detailed explanation of the cost components of the planned cost for Travel.

Travel is \$5,000 over the life of the grant.

Travel will be necessary to provide technical assistance and to perform monitoring activities at Community Colleges, Apprenticeship providers, and other grant funded sites during the life of the grant. Also, travel will be necessary for regional planning. Travel will be charged per the State of Arkansas travel regulations of \$.42 a mile, \$43 per diem and between \$83 to \$100 a night for hotel depending on the city.

Supplies: Provide a detailed explanation of the cost components of the planned cost for Supplies.

Supplies total \$3,000.

A small amount of supplies (over the life of the grant) is requested to support expendable supplies and operating expenses, such as telephone, copies, and office supplies.

Contractual: Provide a detailed explanation for Contractual costs which specifies:

- Estimated amount of the contractual agreement(s)
- Proposed timelines
- Proposed organization, if known
- Nature of the proposed activities

Contractual Totals: \$5,571,455

Contractual includes:

Arkansas Community Colleges (ACC) - \$112,804
12 Participating Community Colleges - \$3,914,557
Arkansas Apprenticeship Coalition (AAC) - \$450,000
Winrock International - \$844,094
Local Workforce Development Boards (LWDB) - \$250,000

Other: Provide a detailed explanation of the cost components of the planned cost for Other.

The "Other" budget category includes a total of \$300,000 for regional planning activities under the grant.

ADWS will contract with an external experienced strategic planning organization to work with project staff to facilitate the regional planning process. Each of the ten Local Workforce Development Boards (LWDB's) will be actively involved in the regional planning activities.

Indirect Charges: Provide an explanation of the cost components of the planned costs for Indirect Charges.

Indirect Costs are projected based on historical average rates per position charging to the award.

These costs are charged in accordance with ADWS' approved PACAP (see attached in the additional information screen).



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 09/30/2016

Project Synopsis Form

National Emergency Grant Electronic Application System

State of AR	Amount of Funding Request \$6,362,485	Amount Approved by DOL \$
Project Name: AR-Regular~Arkansas Sector Partnership		
Project Type: Regular		
<p>Description of Activities and Services To Be Provided: Arkansas has experienced over 9,600 reported lay-offs state wide in the past two years. The number of lay-offs is likely higher as not all lay-offs events are reported. To meet the needs of dislocated workers and the long-term unemployed, the Arkansas Sector Partnership National Emergency Grant (ASP) is responding by providing employer-driven workforce training programs in the high growth industry sectors of Advanced Manufacturing, Health Care, Information Technology, and the skilled Construction Trades. An overarching Arkansas statewide economic development goal is to increase Arkansans' incomes at a pace greater than the national average. Therefore, it is increasingly important for statewide workforce development programs to consider industry sectors that offer solid growth opportunities with accompanying high wages for potential employees. Arkansas trails the US per capita median household income by 23%--\$40,768 compared to the US per capita median household income of \$53,046 (U.S. Census Bureau). Workforce development efforts need to be focused on closing this income gap. The collaborative partnership of ASP is focused on providing targeted credit and non-credit pre-employment and occupational specific training to meet employer needs leading to viable employment opportunities for dislocated workers, long-term unemployed individuals, and eligible underserved populations. ASP will engage project stakeholders in ongoing regional planning efforts across the state to assess workforce development needs and job demand. ADWS is serving as the lead applicant for ASP in partnership with Arkansas Community Colleges (ACC), the Arkansas Apprenticeship Coalition (AAC), Winrock International, and 6 Local Workforce Development Boards (LWDBs) to train over 1,500 Arkansans to meet the needs of 41 employers. These 41 employers have committed to hiring the participants. ADWS has developed a proven partnership through other DOL-funded grants with the ACC, the AAC, Winrock International, and local employers to provide work readiness/pre-employment and targeted occupational training based on employer needs. ASP is focused on providing a partnership framework that facilitates the delivery of sector-based trainings and employer engagement activities to meet both the workforce development needs of industry and the employment needs of dislocated workers. ASP has 12 community colleges and apprenticeship programs committed to participate, with 41 partner employers that will train over 1,500 participants during the life of the grant. The targeted industry sectors will be Advanced Manufacturing including Aerospace, Construction, and Healthcare. Targeted occupations include: construction technology, welding, industrial electrical systems, multi-skills programs in Advanced Manufacturing, LPN, EMT, CNA, and Microsoft Office Specialist. ASP will offer enhanced career services to dislocated workers, training and work-based training. The colleges and partnering employers will offer pre-employment skills training, workplace readiness, occupational based training and Workforce Orientation and Retraining Keys (WORK) to participants. They will also offer a model of training and work-based training that incorporates class room learning, on the job training and training alongside industry professionals. Partners may utilize pre and post-test assessment exams to gauge the program and determine a participant as a successful completer. ASP training programs offer access to 24 college credit courses, over 21 industry recognized credentials and 105 Registered Apprenticeships. The ASP is requesting a total of \$6,362,485 in grant funding. 57.14% of grant funds are being utilized for training and work-based training activities. 22.5% is being used for enhanced career services activities</p>		
Application Type: Full		
(If Emergency, reason:)		
Description of Dislocation Event: Increasing capacity to implement new or expanded local and regional sector partnerships that will serve more dislocated workers and achieve better employment-related outcomes for this group of workers.		
Type of Eligible Dislocation Event:		
<input checked="" type="checkbox"/> Plant Closure/Mass Layoff <input type="checkbox"/> Community Impact Layoffs <input type="checkbox"/> Military Installation <input type="checkbox"/> Industry Wide <input type="checkbox"/> Regional Impact		
Applicant Contact Person: Lisa Ferrell		
Street Address 1: #2 Capitol Mall		
Street Address 2: P.O. Box 2981		
City: Little Rock State: AR Zip Code: 72203		
Telephone: (501) 371-1024		
Fax: (501) 683-5858		
Email: lisa.ferrell@arkansas.gov		
Planned Number of Participants:	1,700	Planned Entered Employment Rate: 88%
Planned Cost per Participant:	\$3742.64	Actual Cost per Participant in Prior PY: \$5742.81
% of Planned Participants Receiving NRPs: 0%		Planned Earnings: 19426

Counties included in Project Service Area: AR-Arkansas County, AR-Ashley County, AR-Baxter County, AR-Benton County, AR-Boone County, AR-Bradley County, AR-Calhoun County, AR-Carroll County, AR-Chicot County, AR-Clark County, AR-Clay County, AR-Cleburne County, AR-Cleveland County, AR-Columbia County, AR-Conway County, AR-Craighead County, AR-Crawford County, AR-Crittenden County, AR-Cross County, AR-Dallas County, AR-Desha County, AR-Drew County, AR-Faulkner County, AR-Franklin County, AR-Fulton County, AR-Garland County, AR-Grant County, AR-Greene County, AR-Hempstead County, AR-Hot Spring County, AR-Howard County, AR-Independence County, AR-Izard County, AR-Jackson County, AR-Jefferson County, AR-Johnson County, AR-Lafayette County, AR-Lawrence County, AR-Lee County, AR-Lincoln County, AR-Little River County, AR-Logan County, AR-Lonoke County, AR-Madison County, AR-Marion County, AR-Miller County, AR-Mississippi County, AR-Monroe County, AR-Montgomery County, AR-Nevada County, AR-Newton County, AR-Ouachita County, AR-Perry County, AR-Phillips County, AR-Pike County, AR-Poinsett County, AR-Polk County, AR-Pope County, AR-Prairie County, AR-Pulaski County, AR-Randolph County, AR-Saline County, AR-Scott County, AR-Searcy County, AR-Sebastian County, AR-Sevier County, AR-Sharp County, AR-St. Francis County, AR-Stone County, AR-Union County, AR-Van Buren County, AR-Washington County, AR-White County, AR-Woodruff County, AR-Yell County

Project Operator Listing: Arkansas Department of Workforce Services

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 107-210). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0371).

ETA 9106 (March 2004)



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 09/30/2016

Employer Data Form
National Emergency Grant Electronic Application System

Company/Industry	Location of Facility	Notification Type	Date of Notification	Layoff Date(s)	Number of Affected Workers
SP NEG, Inc.	#2 Capitol Mall P.O. Box 2981 Little Rock, AR 72203	None		06/01/2015	3000 Closure: No

Date(s) of Rapid Response Actions	# of Workers Contacted	Field Surveys Completed	TAA Petition	Number of Planned Participants	Labor Organization Representation
Contact with Employer: None Contact with Workers: None	1,600	None	Date Filed: 0 Number of Workers Covered Not applicable	1,700	

Type of Business: Not Applicable	Two-Digit NAIC Code: 00
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Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 107-210). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0371).

ETA 9105 (March 2004)



U.S. Department of Labor
 Employment and Training Administration

OMB Approval No. 1205-0439
 Expiration date: 09/30/2016

Project Operator Data Form
 National Emergency Grant Electronic Application System

Project Operator: Arkansas Department of Workforce Services		
Street Address 1: #2 Capitol Mall		
Street Address 2: P.O. Box 2981		
City: Little Rock	State: AR	Zip Code: 72203
Contact Person: Lisa Ferrell		
Telephone: (501) 371-1024		
FAX: (501) 371-1042		
Email: lisa.ferrell@arkansas.gov		
Duration of Project Operator Agreement:	Start: 06/30/2015	End: 06/30/2017
Funding Level: \$5,571,455		
Number of Participants: 1700		
Counties included in Project Operator Service Area: AR-Arkansas County, AR-Ashley County, AR-Baxter County, AR-Benton County, AR-Boone County, AR-Bradley County, AR-Calhoun County, AR-Carroll County, AR-Chicot County, AR-Clark County, AR-Clay County, AR-Cleburne County, AR-Cleveland County, AR-Columbia County, AR-Conway County, AR-Craighead County, AR-Crawford County, AR-Crittenden County, AR-Cross County, AR-Dallas County, AR-Desha County, AR-Drew County, AR-Faulkner County, AR-Franklin County, AR-Fulton County, AR-Garland County, AR-Grant County, AR-Greene County, AR-Hempstead County, AR-Hot Spring County, AR-Howard County, AR-Independence County, AR-Izard County, AR-Jackson County, AR-Jefferson County, AR-Johnson County, AR-Lafayette County, AR-Lawrence County, AR-Lee County, AR-Lincoln County, AR-Little River County, AR-Logan County, AR-Lonoke County, AR-Madison County, AR-Marion County, AR-Miller County, AR-Mississippi County, AR-Monroe County, AR-Montgomery County, AR-Nevada County, AR-Newton County, AR-Ouachita County, AR-Perry County, AR-Phillips County, AR-Pike County, AR-Poinsett County, AR-Polk County, AR-Pope County, AR-Prairie County, AR-Pulaski County, AR-Randolph County, AR-Saline County, AR-Scott County, AR-Searcy County, AR-Sebastian County, AR-Sevier County, AR-Sharp County, AR-St. Francis County, AR-Stone County, AR-Union County, AR-Van Buren County, AR-Washington County, AR-White County, AR-Woodruff County, AR-Yell County		

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ETA 9107 (March 2004)



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 09/30/2016

Planning Form (Regular) (page 1 of 3)

National Emergency Grant Electronic Application System

All quarterly entries are CUMULATIVE over all previous quarters.

PERFORMANCE FACTOR	PROGRAM YEAR QUARTER					
	ADMIN	PROGRAM	QTR1 06/30/2015	QTR2 09/30/2015	QTR3 12/31/2015	QTR4 03/31/2016
IMPLEMENTATION SCHEDULE						
Receiving Intensive Services			56	262	468	674
Enrolled In Training			50	231	412	593
Enrolled in OJT Only			15	30	45	60
Receiving Supportive Services			25	84	143	202
Receiving Needs-Related Payments			0	0	0	0
Exits			0	212	300	520
Entering Employment At Exit			0	166	285	498
Entering OJT Employment at Exit			0	15	30	45
Entering OJT-Related Employment at Exit			0	15	30	45
Total Planned Participants			56	262	468	674
Total Expenditures: Grantee Level						
NRPs		0	0	0	0	0
Supportive Services		0	0	0	0	0
<u>Program Management & Oversight</u>						
Admin Excluding NRP Processing*	131,652		14,628	29,256	43,884	58,512
NRP Processing*	0		0	0	0	0
Other*		659,378	73,264	146,528	219,792	293,056
Total: Program Management And Oversight	131,652	659,378	87,892	175,784	263,676	351,568
Indirect* (This line does not add into the subtotal)	78,723	0	8,747	17,494	26,241	34,988
Other*		0	0	0	0	0
Total Expenditures: Grantee Level	131,652	659,378	87,892	175,784	263,676	351,568
Total Expenditures: Project Operator Level						
Core And Intensive Services		668,810	74,312	148,624	222,936	297,248
Training		4,209,300	467,700	935,400	1,403,100	1,870,800
OJT Training Employer Reimbursement (This line does not add into calculated total)	0	0	0	0	0	0
Supportive Services		375,000	41,666	83,332	124,998	166,664
NRPs*		0	0	0	0	0
<u>Program Management & Oversight</u>						
Admin Excluding NRP Processing*	318,345		35,371	70,742	106,113	141,484
NRP Processing*	0		0	0	0	0
Other*		0	0	0	0	0
Total: Program Management And Oversight	318,345	0	35,371	70,742	106,113	141,484
Other*		0	0	0	0	0
Total Expenditures: Project Operator Level	318,345	5,253,110	619,049	1,238,098	1,857,147	2,476,196
Total Expenditures: Grantee and Project Operator Level						
Total Expenditures: Grantee And Project Operator Level	449,997	5,912,488	706,941	1,413,882	2,120,823	2,827,764

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U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 09/30/2016

Planning Form (Regular) (page 2 of 3)
National Emergency Grant Electronic Application System
All quarterly entries are CUMULATIVE over all previous quarters.

PERFORMANCE FACTOR	PROGRAM YEAR QUARTER			
	QTR5 06/30/2016	QTR6 09/30/2016	QTR7 12/31/2016	QTR8 03/31/2017
IMPLEMENTATION SCHEDULE				
Receiving Intensive Services	880	1,086	1,292	1,498
Enrolled In Training	774	955	1,136	1,317
Enrolled in OJT Only	75	90	105	120
Receiving Supportive Services	261	320	379	438
Receiving Needs-Related Payments	0	0	0	0
Exits	685	800	965	1,200
Entering Employment At Exit	664	755	900	1,162
Entering OJT Employment at Exit	60	75	90	105
Entering OJT-Related Employment at Exit	60	75	90	105
Total Planned Participants	880	1,086	1,292	1,498
Total Expenditures: Grantee Level				
NRPs	0	0	0	0
Supportive Services	0	0	0	0
<u>Program Management & Oversight</u>				
Admin Excluding NRP Processing*	73,140	87,768	102,396	117,024
NRP Processing*	0	0	0	0
Other*	366,320	439,584	512,848	586,112
Total: Program Management And Oversight	439,460	527,352	615,244	703,136
Indirect* (This line does not add into the subtotal)	43,735	52,482	61,229	69,976
Other*	0	0	0	0
Total Expenditures: Grantee Level	439,460	527,352	615,244	703,136
Total Expenditures: Project Operator Level				
Core And Intensive Services	371,560	445,872	520,184	594,496
Training	2,338,500	2,806,200	3,273,900	3,741,600
OJT Training Employer Reimbursement (This line does not add into calculated total)	0	0	0	0
Supportive Services	208,330	249,996	291,662	333,328
NRPs*	0	0	0	0
<u>Program Management & Oversight</u>				
Admin Excluding NRP Processing*	176,855	212,226	247,597	282,968
NRP Processing*	0	0	0	0
Other*	0	0	0	0
Total: Program Management And Oversight	176,855	212,226	247,597	282,968
Other*	0	0	0	0
Total Expenditures: Project Operator Level	3,095,245	3,714,294	4,333,343	4,952,392
Total Expenditures: Grantee and Project Operator Level				
Total Expenditures: Grantee And Project Operator Level	3,534,705	4,241,646	4,948,587	5,655,528

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 107-210). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0371).

ETA 9103-1 (March 2004)



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 09/30/2016

Planning Form (Regular) (page 3 of 3)
National Emergency Grant Electronic Application System
All quarterly entries are CUMULATIVE over all previous quarters.

PERFORMANCE FACTOR	PROGRAM YEAR QUARTER
	QTR9 06/30/2017
IMPLEMENTATION SCHEDULE	
Receiving Intensive Services	1,700
Enrolled In Training	1,500
Enrolled in OJT Only	135
Receiving Supportive Services	500
Receiving Needs-Related Payments	0
Exits	1,700
Entering Employment At Exit	1,496
Entering OJT Employment at Exit	135
Entering OJT-Related Employment at Exit	135
Total Planned Participants	1,700
Total Expenditures: Grantee Level	
NRPs	0
Supportive Services	0
<u>Program Management & Oversight</u>	
Admin Excluding NRP Processing*	131,652
NRP Processing*	0
Other*	659,378
Total: Program Management And Oversight	791,030
Indirect* (This line does not add into the subtotal)	78,723
Other*	0
Total Expenditures: Grantee Level	791,030
Total Expenditures: Project Operator Level	
Core And Intensive Services	668,810
Training	4,209,300
OJT Training Employer Reimbursement (This line does not add into calculated total)	0
Supportive Services	375,000
NRPs*	0
<u>Program Management & Oversight</u>	
Admin Excluding NRP Processing*	318,345
NRP Processing*	0
Other*	0
Total: Program Management And Oversight	318,345
Other*	0
Total Expenditures: Project Operator Level	5,571,455
Total Expenditures: Grantee and Project Operator Level	
Total Expenditures: Grantee And Project Operator Level	6,362,485

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 107-210). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0371).

Narrative Statements

Project Type: Regular
<p>GENERAL EXPLANATION: Please enter any information that would benefit the reviewers who will approve or deny this application. Use this area to explain items and concepts that you feel need additional information, items that need DOL specialist attention during the review process, or any information on how the program is meeting demand-driven goals.</p> <p>See Uploaded SP NEG Description</p>
Project Overview
<p>Please explain why the planned number of participants exceeds 50% of the number of affected workers.</p> <p>Based on the SP NEG Users Guide application instructions (on page 18), the guidance indicated to enter a number that is more than twice the number of planned participants.</p>
Project Plan
<p>Please delineate the cost components of the planned cost in Admin. Excluding NRP Processing - Program Management and Oversight (Grantee Level).</p> <p>Arkansas Department of Workforce Services (ADWS) administrative costs total \$131,652.</p>
<p>Please explain the basis of providing a value in Other-Program Management and Oversight (Grantee Level).</p> <p>The Other Program Management and Oversight line item category includes the following:</p> <p>Regional Planning Activities: \$300,000 ADWS program staff, accounting staff, monitoring staff associated with administering the grant: \$359,378 Total: \$659,378</p>
<p>Please delineate the cost components of the planned cost in Admin. Excluding NRP Processing (Project Operator Level).</p> <p>The Admin Excluding NRP Processing totals \$318,345.</p> <p>The breakdown includes:</p> <p>Arkansas Community Colleges - \$9,400 Twelve participating Community Colleges - \$258,661 Winrock International - \$50,284</p>
<p>Please explain the basis for providing a value in Indirect.</p> <p>Indirect Costs are projected based on historical average rates per position charging to the award.</p> <p>These costs are charged in accordance with ADWS' approved PACAP (see attached).</p>